



UNITED STATES PATENT AND TRADEMARK OFFICE


 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,094	03/27/2001	Richard I. Weiner	UCSF-018/02US

CONFIRMATION NO. 6968

FORMALITIES LETTER



OC000000006389047

 Attention: Patent Group
 COOLEY GODWARD LLP
 Five Palo Alto Square
 3000 El Camino Real
 Palo Alto, CA 94306-2155

Date Mailed: 08/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

00/01/2001 TGEDANUM 00000037 09819094

FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	108.00 OP
03 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
 - \$108 for 6 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 948.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ENTERED:

RECEIVED

DUE: 0600 2001

AUG 08 2001

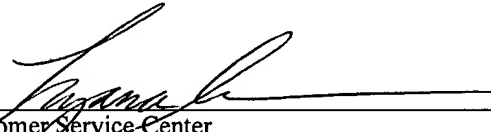
EOL: 06 FE 2001

COOLEY GODWARD LLP

For questions regarding compliance to these requirements, please contact:

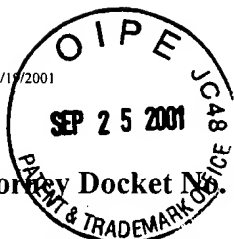
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



09 - 27 - 0

Sector
Box Sep

Attorney Docket No. UCSF-018/02US

PATENT

Express Mail Label Number:
Date of Deposit:EL514036162US
September 25, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademarks, Washington, DC 20231.

Date:

25 September 2001

By:

Vladimir Skliba
Vladimir Skliba**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Richard I. WEINER, et al.

Serial No.: 09/819,094

Examiner: Not Yet Assigned

Confirmation No.: 6968

Art Unit: 1645

Filed: March 27, 2001

For: NOVEL ANTIANGIOGENIC PEPTIDE AGENTS AND THEIR
THERAPEUTIC AND DIAGNOSTIC USE

Commissioner for Patents and Trademarks
BOX MISSING PARTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
AND TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCES

In response to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(e) and the Notice to Comply with Requirements for Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed August 6, 2001, enclosed are:

- ☒ copy of the Notice to File Missing Parts of Application
- ☒ 2 sets of executed Declaration (4 pages each)
- ☐ Power to Prosecute
- ☐ Assertion of Entitlement to Small Entity Status
- ☐ Application Data Sheet
- ☒ paper copy of the "Sequence Listing";

☒ copy of the "Sequence Listing" in computer readable form on a:

☒ 3 1/2" floppy disk;

☒ Preliminary Amendment entering the Sequence Listing into application.

The content of the copy in computer readable form is identical to the content of the paper copy of the "Sequence Listing".

This submission includes no new matter.

☐ A copy of the Official Filing Receipt marked in red to show corrections that are needed. Please make the following corrections in the Official Filing Receipt:

Issuance of a corrected filing receipt is respectfully requested.

and the payment of the following fee(s):

☒ Filing fee of \$710.00

☒ Additional Claim Fee of \$108.00

☒ Surcharge fee for Late Filing of Declaration of \$130.00

☐ Other fees:

Total Fee due: \$ 948.00.

☒ Check No. 15474 in the amount of \$ 948.00 for the total fee is attached.

☐ Please charge \$__ to Deposit Account No. 03-3117 for the total fee. This paper is being submitted in duplicate.

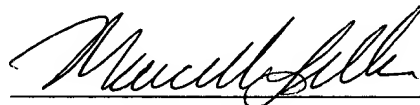
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated:

Cooley Godward LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663
MLvhh

Respectfully submitted,
COOLEY GODWARD LLP

By:



Marcella Lillis, Ph.D.
Reg. No. 36,583